

RegTech Advisory

Our offerings to support you with the implementation of the new IFR framework

BearingPoint RegTech is passionate about regulation

Regulatory data is at the core of our RegTech & SupTech DNA



RegTech & SupTech leader

- Leading Provider in RegTech and SupTech for **27 years**.
- **26 RegTech Conventions** organized.
- Designated regulators conference with more than **800 participants** from **Supervisory Authorities and financial institutions**.



Functional & technical expertise

- Combination of the **functional expertise** required with in-depth **technical know-how** to fulfil functional and non-functional requirements.



European familiarity

- We have **hands-on experience** with numerous financial institutions, central banks and supervisory authorities in Europe.
- We regularly have knowledge sharing sessions with standard setting bodies in Regulatory Reporting.



Industry enablers & thought leaders

- We see ourselves as **enablers** for the industry and therefore discuss **RegTech** and **SupTech** trends in the market with different stakeholders, so our clients could benefit from this **know-how**.



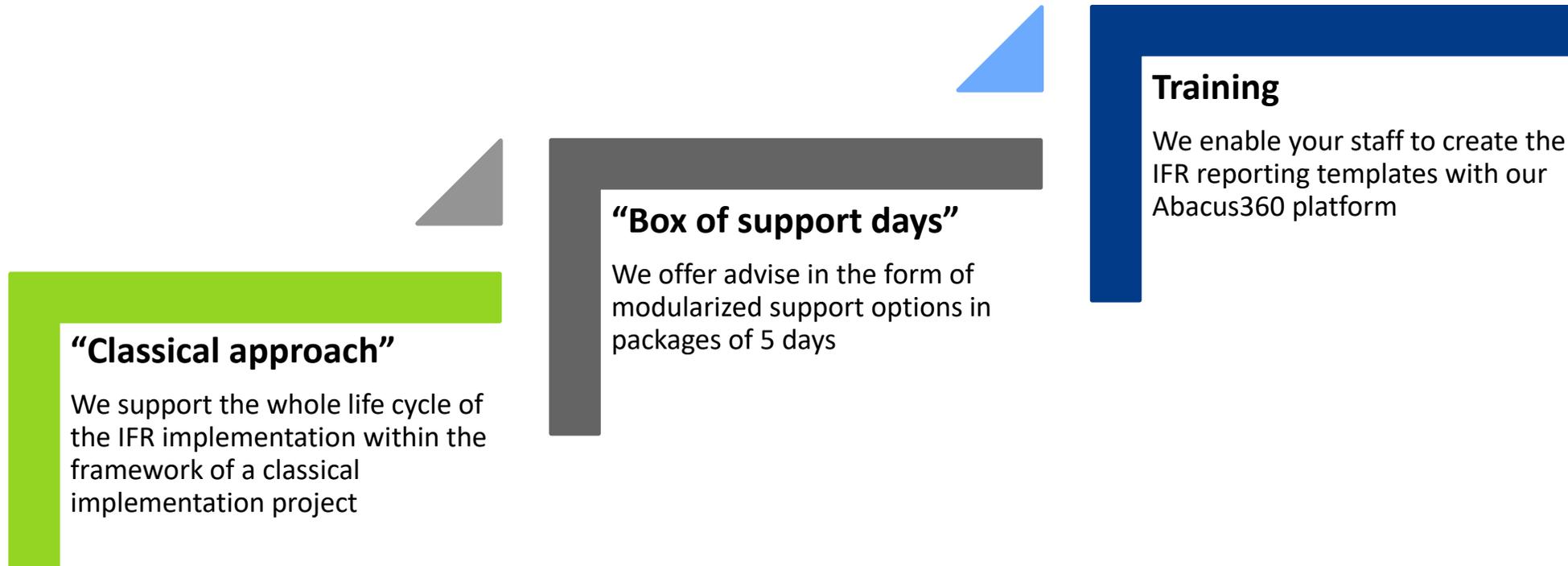
Software generations

- Ready to use, **standard out-of-the-box** platforms with curated regulatory content in the areas of prudential reporting, statistical reporting, transactional reporting, tax reporting and data collection.



Our RegTech advisory offering in a nutshell

Our advisory contains the right offering for investment firms of every size



Our IFR advisory offering is based on three pillars

We offer modular support options based on your specific needs

Advisory option	For whom is this option ideal?	What does this option entail?
<p>The „Classical approach“</p> 	<ul style="list-style-type: none"> • Large Class 2 investment firms • Firm with complex business models e.g. investment firms which trade on their own accounts on a significant scale • Main complexity drivers of the IFR implementation for these firms will be the net position risk NPR and trading counterparty default risk TCD 	<ul style="list-style-type: none"> • RegTech Advisory supports over the entire project cycle • In our IFR Advisory offering we bring to the table our expertise of 25 years in regulatory advisory combined with standardized approaches for the implementation of the IFR requirements which are scalable to your specific needs
<p>The „box of support days“</p> 	<ul style="list-style-type: none"> • Medium sized Class 2 investment firms and larger Class 3 investment firms • Firms with business models of medium complexity e.g. single products that require complex data delivery 	<ul style="list-style-type: none"> • Standardized modular support options offered in packages of 5 days including offerings for the following scenarios <ul style="list-style-type: none"> • Support with the modelling of specific products, workshops on specific topics • Support with setting up the basic settings in Abacus360
<p>Standardized training</p> 	<ul style="list-style-type: none"> • All new Abacus customers who license the IFR module irrespective of their size • Existing customers who license the new IFR module 	<ul style="list-style-type: none"> • Preparation of standardized online trainings <ul style="list-style-type: none"> • 1 Day Trainings for existing customers on the IFR module in specific • 2 Day Training for new Abacus customers



We would be very pleased to discuss with you in a workshop how we can best support you personally

The classical approach

Our offering for a classical implementation project



Scope of the offering

- We support the IFR reporting framework with our **expertise of more than 20 years in Regulatory Advisory and our deep knowledge of the Abacus360 Banking platform**
- The implementation of the IFR requirements combines product specific individual requirements for every investment firm with standardized requirements
 - We support these requirements with **out-of-the-box functional concepts which can be scaled depending on your specific needs**
 - By this approach, we are able to **combine delivering added value for your investment firm individually with the advantages of using an industry standard**
- In cooperation with you, we define the project scope based on your business model and product portfolio

Timeline & results

- With our proven project approach, you are able to **successfully report the IFR requirements as of June 2021**
- A **typical duration of an implementation project** will be about **2.5 – 4 months** (appr. 50 – 100 man-days advisory in total)

Details about the offering

- Among the **main complexity drivers** are the requirements for the **net position risk NPR and trading counterparty default risk TCD**
 - To meet these requirement, a product specific data delivery on single deal level is needed
 - The calculation of NPR and TCD is a standard functionality in Abacus360 and we support the data delivery with the aforementioned concepts

The classical approach

What a successful project could look like according to the classical approach

Scope check & gap analysis



1
appr. 1-2 weeks

Data requirements



2
appr. 4-6 weeks

Technical implementation & test



3
appr. 4-8 weeks

Successfully report as of June 30, 2021



Define the scope & initial gap analysis

- Define the project scope depending on the scope of business and your product portfolio
- Detect possible data gaps at an early stage
- Assess the best data delivery option for every reporting requirement/template

Create functional & technical data requirements

- Define the functional and technical data requirements
- Define processes for the regular report creation
- Define an implementation & test strategy

Implementation & Test

- Implement the functional & technical data requirements
- Functional tests & integration tests
 - Test of the substantial correctness of the reporting templates
 - Test of the implemented data delivery and reporting processes
- Go live in productive environments

The “box of support days“

Our modular offering around selected topics



Scope of the offering

- We offer to **advise** you on specific topics related to the IFR reporting framework **according to your specific needs**
- Our experienced consultants can support you for instance with any issues you may have with one of the following topics:
 - **Determine the reporting obligations** according to the IFR reporting framework
 - The **data delivery of complex products** to Abacus360
 - Institute-specific **parametrization of Abacus360**
 - Data delivery via the Abacus360 sum interface (X2A)
 - Testing
- Our support can be delivered in the form of
 - Workshops (remote or on-site) or
 - One of our consultants analyzing and solving the issues together with you within a dedicated time frame

Timeline & results

- The „box of support days“ enables you to **tailor the scope of our support to your specific needs**
- You can choose the scope and how the service will be provided (workshop or classical advisory) from our modular offerings

Details about the offering

- Every offering is based on a standardized **modular offering** which offers the possibility to book consulting services in **packages of 5 days**.
- Thus, the offer is best suited for medium and small institutions that do not require large implementation projects and only need support with the implementation of a few specific complex tasks

RegTech training – Specialized Abacus360 education

We offer trainings and webinars covering Abacus360 in general and the new IFR module in particular

Abacus360 training courses



IFR reporting in Abacus360

Scope of this option:

- 1 day webinar/presence seminar
- **Introduction of the new IFR module** including
 - implemented templates
 - data delivery options
 - relevant processes to create the IFR reports

Target audience:

- Suitable for **current Abacus360 customers** who would like to learn how to handle the new IFR module

Abacus360 basics & IFR reporting

Scope of this option:

- 2 day webinar/presence seminar
- **Day 1: Introducing GUI, general functionalities and data model in Abacus360**
- **Day 2: Introduction of the new IFR module analog to the 1 day seminar**

Target audience:

- Suitable for **Abacus360 newcomers** who would like to learn how to handle the new IFR module

Contact us

For further information please refer to:

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